



KARNATAKA STATE DR. GANGUBAI HANGAL  
MUSIC AND PERFORMING ARTS UNIVERSITY

Prof. Niranjan  
Registrar

H. H. Road, Raj Bhojra, Mysore,  
Karnataka, Mysore-571 001  
Ph. 08138212421/11, Fax: 247114  
E-mail: [na@karsu.ac.in](mailto:na@karsu.ac.in)

No. : KSCOLMPAL/1184/2017-18

Date : 05.08.2017

NOTIFICATION

- Sub : Regulation relating to Governing the Degree of Doctor of Philosophy (Ph.D.) of Karnataka State Universities, 2011,  
Ref : Government Order No. ED 209 UNB 2015 dated 29.08.2015 from Karnataka, Bangalore - Communicating the Regulation assented to by His Excellency the Chancellor,

Vide reference cited above, Consistent to the action of His Excellency the Chancellor with regard to Regulation relating to Degree of Doctor of Philosophy (Ph.D.) of Karnataka State Universities, 2011 is hereby notified vide Annexure 'A' and the same comes into effect from the Academic year 2016-17.

By Order

  
Registrar

To

- 1) The Secretary to His Excellency the Governor and Chancellor of Karnataka Universities, Raj Bhavan, Bangalore,
- 2) The Principal Secretary to Government Higher Education Department, M. S. Building, Bangalore.
- 3) The PA to Vice-Chancellor / Registrar, Karnataka State Dr. Gangubai Hangal Music and Performing Arts University, Mysore.
- 4) The Registrar (Evaluation), Karnataka State Dr. Gangubai Hangal Music and Performing Arts University, Mysore.
- 5) The Finance Officer, Karnataka State Dr. Gangubai Hangal Music and Performing Arts University, Mysore.
- 6) School/Unit File.



ಕರ್ನಾಟಕ ರಾಜ್ಯ ಹಾ. ಸಂ.ಗಳಿಂದ ಪಾಸ್‌ಗಳ  
ಸಂಗ್ರಹ ಮತ್ತು ಪ್ರವರ್ತಕ ಕೆಲಸಗಳ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ

ಹಾ. ಸಂ.ಗಳ  
ಕಚೇರಿ

ಮೊದಲ ಹಂತದ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ  
ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ  
ಹಾ. ಸಂ.ಗಳ: 2,871-1 ಒಳ 2ನೇ ಹಂತ  
ಕೆ.ಆರ್.ಪೇಟೆ : 560002,ಕರ್ನಾಟಕರಾಜ್ಯ

ಕ್ರಮ: ಸಿ.ಸಿ.ಎಂ.ಎಂ/ಇಲಾಖೆ/189/2015-8

ದಿನಾಂಕ : 16.09.2017

**ಅಭಿಪ್ರಾಯ**

ಮೊದಲ ಹಂತದ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ - 2011 ಪ್ರತಿಭಾನ್ವಿತರಿಗಿರುವುದು ಕಡತ.

ಕ್ರಮ: ಕರ್ನಾಟಕ ರಾಜ್ಯ ಸರ್ಕಾರ : ಹಾ. ಸಂ.ಗಳ 2015 - 2008 : 29.08.2011 ಹಂತ  
ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆಯಲ್ಲಿ ಪಾಸ್‌ಗೊಂಡು

ಮೊದಲ ಹಂತದ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಾ. ಸಂ.ಗಳಿಂದ ಪಾಸ್‌ಗಳ ಕಡತ ಮತ್ತು  
ಕರ್ನಾಟಕ ರಾಜ್ಯ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆಯ ನಿಯಮಾವಳಿ - 2011 ಮತ್ತು ಪಾಸ್‌ಗೆ  
ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆಯಲ್ಲಿ ಪಾಸ್‌ಗೊಂಡು ಹಾ. ಸಂ.ಗಳಿಂದ ಪಾಸ್‌ಗಳ ಕಡತ ಮತ್ತು ಪ್ರತಿಭಾನ್ವಿತರಿಗಿರುವುದು ಕಡತ  
ಹಂತ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ 2015-1 ಹಂತ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆಯಲ್ಲಿ ಪಾಸ್‌ಗೊಂಡು ಹಾ. ಸಂ.ಗಳಿಂದ ಪಾಸ್‌ಗಳ  
ಕಡತವಾಗಿದೆ.

ಆಯ್ಕೆ ಪರೀಕ್ಷೆ

**ಪ್ರಕಟಣೆ :**

1. ಕರ್ನಾಟಕ ರಾಜ್ಯ ಸರ್ಕಾರದಿಂದ, ಹಾ. ಸಂ.ಗಳ 2015 - 2008 ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ - 01
2. ಕರ್ನಾಟಕ ರಾಜ್ಯ ಸರ್ಕಾರದಿಂದ, ಹಾ. ಸಂ.ಗಳ 2015 - 2008 ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ
3. ಕರ್ನಾಟಕ ರಾಜ್ಯ ಸರ್ಕಾರದಿಂದ, ಹಾ. ಸಂ.ಗಳ 2015 - 2008 ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ
4. ಕರ್ನಾಟಕ ರಾಜ್ಯ ಸರ್ಕಾರದಿಂದ, ಹಾ. ಸಂ.ಗಳ 2015 - 2008 ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ ವಿತ್ತವಿದ್ಯಾರ್ಥಿಯ ಆಯ್ಕೆ ಪರೀಕ್ಷೆ ಹಂತ
5. ಕೆ.ಆರ್.ಪೇಟೆ.

ಕಾರ್ಯ ಪತ್ರ

ದಿನಾಂಕ: 28-08-2017

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ಇವರಿಗೆ:

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ಇವರಿಗೆ:

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ವಿಷಯ: ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ಉಲ್ಲೇಖ: ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

-----

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ಇವರಿಗೆ:

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

ಕಾರ್ಯ ಪತ್ರದ ಸಂಖ್ಯೆ: 40/2017  
ಬೆಂಗಳೂರು: 28/8/17  
ದಿನಾಂಕ: 28/8-17-2017.

7.7.7  
28/8/17

28/8/17  
31/8/17

Handwritten signature and date



Karnataka State Dr. Gangubai Hangal  
Music and Performing Arts University Mysore

Ph.D Regulations 2014

01. Title and Commencement

- 1.1 These Regulations shall be called, Common Regulations Governing the Degree of Doctor of Philosophy (Ph.D) of Karnataka State Universities, 2014.
- 1.2 These Regulations shall come into force from the date on which it is resolved by the Chancellor.

02. Definitions:

In these Regulations, unless the context requires otherwise or it is specifically so defined,

- 2.1. **University** means a University established and incorporated under KSGU Music and Performing Arts University Act 2009.
- 2.2. **Degree** means the Degree of Doctor of Philosophy (abbreviated as Ph.D).
- 2.3. **Fee** means the fee prescribed by the University for the Ph.D programme.
- 2.4. **Entrance Test** means the test taken by the applicants who seek to qualify themselves for registration for Ph.D programme.
- 2.5. **Pre-registration Colloquium** means the presentation of the Ph.D proposal/outline prepared by the candidate before the Doctoral Committee to prove his/her preparedness to take up the research work.
- 2.6. **Course Work** means the courses prescribed as a part of Ph.D programme and which all the candidates shall successfully complete as a pre-condition to start the preparation of Ph.D thesis.
- 2.7. **Research Supervisor/Guide** means the qualified teacher or outstanding scholar in the concerned discipline who is recognized by the University as Research Supervisor/Guide to guide the candidates for their Ph.D programme.
- 2.8. **Co-supervisor/Co-guide** means the recognized Research Supervisor who guides and supervises an inter-disciplinary Doctoral thesis of a candidate as the second supervisor along with the Research Supervisor.
- 2.9. **Department Council** means the Council comprising of all Professors, Associate Professor and two Assistant Professors of the concerned Department of the University.
- 2.10. **Doctoral Committee** means the Committee constituted by the University to assess and recommend the applications to its Board of Studies on the basis of suitability of the Ph.D applicant/research proposal for registration, and also to review the progress of the candidates, and to permit the candidate to submit the thesis after reviewing the work of the candidate in the pre-registration colloquium.

*S. Srinivasan*  
Registrar

*[Signature]*  
Registrar

- 33. There shall be two types of Research Candidates — one, full time and another, part time. Part-time Ph.D. Programme is normally for the benefit of working persons such as teachers employed in P.D. Departments of the University, affiliated/constituent colleges of the University or any other Indian University/Research Institution/Organizations. However, there shall be no bar on others to take up the Doctoral work on part time basis.
- 34. The employees who take up Ph.D programme on full time basis shall produce a certificate from their employer-organizations for having sanctioned study leave. Other candidates who take up Ph.D programme on full time basis shall give an undertaking to the effect that they will not take up any job during the period of research.

**04. Eligibility to apply for Admission to Ph.D Programme**

- 4.1. Any candidate who has obtained a Post-Graduate Degree from a recognized University including foreign students (recognized by their Embassies) and sponsored candidates securing not less than 55% marks at the Post-Graduate Degree shall be eligible to apply for the Ph.D Degree Programme.
- 4.2. In the case of SC/ST/OBC - I/Physically challenged candidates, and those who are appointed on regular basis prior to March 31, 1992 as teachers in colleges and University Departments the minimum marks shall be 50% provided they (i.e., employees are continuing in service at the time of submission of application for registration.
- 4.3. Grades / Points to be obtained: If the marks of Master's Degree are depicted in the form of grade/credit/cumulative scores in their marks cards/transcripts, such percentages shall be converted into percentage to assess the minimum eligibility criteria as specified above in Regulations 4.1 and 4.2.

**05. Intake**

- 5.1. Each Research Supervisor/Guide shall guide not more than eight candidates at a time including guiding the candidates who have registered for Ph.D degree in other universities (in absence of guidance of other universities shall be with the permission of the University), out of which one seat each shall be reserved for (a) SC, (b) ST / Category-I and (c) OBC candidates.
- 5.2. The seats reserved for SC and ST candidates shall be interchangeable i.e., SC vacancy shall be filled with ST candidate and vice-versa if there is no eligible candidate from SC or ST). However, they shall not be transferred to General Pool.
- 5.3. Department shall be taken as one unit for the purpose of reservation and each Supervisor shall be allotted each year for one SC/ST/OBC and Cat - I candidates.

**06. Notification of Vacant Seats:** The University shall issue a notification inviting applications for Ph.D programme from the eligible candidates mentioning the number of seats available in each subject. The notification shall be issued as press note in leading newspapers and University Web site once in a year preferably in the month of August.

*Sumanjyoti Chakraborty*  
10-08-2013

*[Signature]*  
10-08-2013

- 2.11. **Board of Studies** means the Board of Studies (Post-graduate) of the University in the discipline/subject concerned.
- 2.12. **Research Proposal Outline** means a brief write-up in the form of research proposal on the proposed research work which shall be submitted by the candidate along with the application (Application — B) for provisional registration for Ph.D programme.
- 2.13. **Synopsis** means the final synopsis of the completed research work which shall be submitted by the candidate.
- 2.14. **Sponsored Candidate** means those permanent employees of educational and/or research institutions and organisations of public/private sector deputed to pursue Ph.D. including the permanent teachers on FIP/QIP.
- 2.15. **Foreign Students** means those who are foreign citizens and who have completed such master's degree or equivalent recognized by UGC as equivalent to P. G. Degree from a recognized foreign university / or any other Indian university.
- 2.16. **Academic Council** means Academic Council constituted under Section 24 of the KSGH Music and Performing Arts University Act 2019.
- 2.17. **Referred/Reputed Journals** means a professional or literary journal or publication in which articles or papers are selected for publication by a panel of referees who are experts in the field.

### III. Subject/Title of Research and Nature of Research Programme

- 3.1. The subject of research shall normally relate to the main branch of knowledge chosen by the applicant at the level of Master Post-Graduate studies.
- 3.2. **Inter-disciplinary Research:** Inter-disciplinary BIS has to be constituted. However, a candidate who is willing to take up research in a subject other than the one chosen for Post-Graduate Degree holder in a subject which is of an inter-disciplinary nature shall also be eligible for registration as follows:
  - 3.2.1. The candidate shall be eligible for registration provided the research topic and the proposal are recommended by the Doctoral Committee and approved by the concerned Board of Studies of the subject discipline wherein he/she wants to work for Doctoral Degree. In this case, the Degree shall be awarded in the subject/discipline in which he/she has applied/registered for Ph.D work. Further, if the Research Supervisor requires, a co-supervisor from another discipline (i.e., the subject chosen by the candidate at Master's Degree) may be taken.
  - 3.2.2. If the candidate wants to pursue the Ph.D. Degree in the subject discipline other than the one which he/she has chosen for P.G. Degree, then a special Board comprising of the chairpersons of both the Boards of Studies and Departments shall examine the proposal and decide the Department to which the application shall be sent for further processing. Once the special Board decides and sends the application to a Department, then all the works shall be carried out in that Department under the supervision of a Research Supervisor of that Department. Further, the Degree shall be awarded in the subject discipline of the Department to which the application is sent.

Somanandhaiah  
Vice-Chancellor

Registrar

47. **Submission of Applications and their Processing:** Applications by the eligible and interested candidates shall be submitted as follows.

- 7.1. Submission of application shall be made to the dispenser of the concerned P.G. Department (this application shall be coded as Application - A).
- 7.2. The Chairperson of the P.G. Department, with the help of the Department Council, shall arrange for: (a) scrutiny of applications to determine the eligibility including that of the applicants who are eligible for exemption from Entrance Test, (b) conducting the Entrance Test and evaluation and (c) get the question papers set from the Department Council, conduct the test, get the answer scripts valued by the Department Council, (d) prepare the consolidated list of applicants and (e) arrange for pre-registration collection.
- 7.3. Upon completion of the Entrance Test and pre registration collection, the candidates (including those who are exempted from Entrance Test) shall submit another application (Application B) along with the Research Proposal/Outline to the dispenser of the concerned P.G. Department for Provisional Registration for Ph.D. programme after paying the requisite fees to the University.
- 7.4. The Chairperson of the Department shall send the applications along with the recommendations of the Doctoral Committee to the dispenser of the Board of Studies for its approval. Chairperson of the Board shall return them after approval or otherwise to the dispenser of the P.G. Department who shall forward them to the University for Provisional Registration.
- 7.5. After the completion of the clerical work, the successful candidates shall submit the final application (Application - C) to the chairperson of the P.G. Department who shall forward them to the University for confirmation of Registration for Ph.D. programme.

48. **Entrance Test**

- 8.1. All the applicants who secure atleast the minimum percentage of marks at their P.G. Degree as specified above in Regulations 4.1 to 4.3 shall appear for the Entrance Test.
- 8.2. However, (a) foreign and sponsored candidates, (b) teachers on T.P / QIP (c) candidates who have cleared the UGC / NET / SET / SLET shall be eligible for exemption from the Entrance Test.
- 8.3. The syllabus for the Entrance Test and other details pertaining to the Entrance Test shall be finalized by the Departmental Council.
- 8.4. All the Departments shall consider the following for conducting Entrance Test:
  - 8.4.1. Maximum marks for Entrance Test: 100 ( 10 Marks for Multiple Choice, 50 Marks for Viva, 40 Marks for Research Methodology and Cognitive / Com. Subject ).
  - 8.4.2. Nature of questions: Multiple answer questions.

Sarwananda Gole, Chairman  
Vice-Chairperson

Registrar

- 8.4.3. **Syllabus for Entrance Test:** Basic Mathematics and compulsory subjects of the P. G. Department constituting the Entrance Test.
- 8.4.4. **Number of Questions:** 10 questions, each carrying 1 mark, 30% of the questions shall be from Research Methodology, rest 70% from the compulsory subjects and remaining 30% for viva — voce. The Department Council shall prepare the entire paper for the Entrance Test.
- 8.4.5. **Duration of Entrance Test:** 2 hrs (30 Minutes Viva).

**9. Preparation of Merit List of Successful Candidates**

- 9.1. After the Entrance Test, Consolidated Merit List of all the candidates (including those who are exempted from Entrance Test) shall be prepared as per Merit cum Reservation Policy on the basis of the marks obtained in the entrance test, interview and marks obtained in the qualifying examination in the ratio of 50 : 150. However, the candidate has to secure overall 50% marks (15% for SC/ST/Cat.D)
- 9.2. The candidates who have cleared the UGC/UGC-CSIR National Eligibility Test for Junior Research Fellowship/GATE/NET and the teachers on JRF/JRF shall be placed first in the Consolidated Merit List based on their percentage of marks secured by them in their qualifying examination and interview in the ratio of 50:50.
- 9.3. Names that appear in the Consolidated Merit List shall be considered for the present vacancies only.

**10. Procedures for Recognition of Research Supervisors/Guide**

- 10.1. All Professors, Readers/Associate Professors in P.G. Departments of the University with Ph.D Degree who are working on regular basis shall be eligible to supervise/ Direct candidates.
- 10.2. Assistant Professors/Lecturers in the Post-Graduate departments of the University who have doctoral degree in the relevant subject and at least three years of independent research experience after the Ph.D degree as evidenced by publications in refereed/journals shall be eligible to be recognized as Research Supervisors/Guides in their subjects provided they have atleast three years of teaching experience on regular basis and a minimum of five publications in refereed journals.
- 10.3. Teachers with Ph.D Degree working in the affiliated/constituent colleges of the University which are recognized by the University as Research Centres and having permanent teaching or research experience of three years after obtaining Ph.D shall also be eligible for recognition as Research Supervisors provided they have a least five publications in refereed/journals.

*Sarwanandabhatnagar*  
Vice-Chancellor

*[Signature]*  
Registrar



- 10.4. Scientists/Professors working in recognized research institutions, recognized as Research Centers by the University, who have Doctoral degree in the concerned/related discipline and having at least three years of independent research experience after obtaining Ph.D degree and a minimum of five publications in reputed journals shall also be eligible for recognition as Research Supervisors. However, no University shall recognize a collaboration affiliated to another University as its Research Center.
- 10.5. In all the above cases (Regulations 10.1 to 10.4) the Board of Studies shall satisfy itself that the person has a sustained record of independent Post-Docoral Research and Publications.
- 10.6. A Research Supervisor shall not opt to become co-supervisor for more than five candidates.
- 10.7. In the cases of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research Supervisor and another is Co-supervisor. However, either the Research Supervisor or Co-supervisor shall be from the P.G. Department of the University.
- 10.8. An outstanding scholar in the field without Ph.D but having high reputation of scholarship shall also be eligible to be recognized as per Ph.D Guide.

#### 11. Allotment of Research supervisor/Guide

- 11.1. After the finalization of Consolidated Short List but before the Pre-registration Colloquium, the Chairperson of the P. G. Department shall convene a meeting of the Department Council to allot the candidates to the Research Supervisors based on the merit list, specialization stream of the candidate and the Research Supervisor, and the willingness of both the Research Supervisor and the Candidate.
- 11.2 After the allotment of candidate to the supervisor, the candidate shall finalize the research topic/title and proposal in consultation with the Research Supervisor for presentation before the Doctoral Committee.

#### 12. Change of Research Supervisor/Guide

- 12.1. Generally, change of Research Supervisor/Guide shall not be entertained and permitted.
- 12.2. Under extraordinary circumstances such as death or disability on health ground of the supervisor or transfer of the Supervisor, or under exceptional the change of Research Supervisor - be permitted by the University at the request and on the recommendation of the chairperson of the P.G. Department.
- 12.3. In case of any conflict between the Research Supervisor and the Candidate, the Chairperson of the P. G. Department, on the receipt of request letter either from the Candidate or from the Research Supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report recommendation to the Chairperson of the P. G. Department who in turn shall forward it to the Vice Chancellor. The decision of the Vice Chancellor shall be final in this regard.

*Sarwanandashankar*  
 Registrar

*[Signature]*  
 Registrar

13. **Doctoral Committee**

13.1. There shall be a subject-wise Doctoral Committee constituted by the University for the purpose of smooth conduct of Ph.D. programme. The Committee shall comprise,

- Chairman of P. G. Board of Studies : Chairman
- Chairman of Department Council : Member
- Coordinated Research Supervisor : Member
- One Internal Expert (nominated by the Vice-Chancellor) : Member
- One External Expert (nominated by the Vice-Chancellor) : Member

13.2. The Doctoral Committee shall meet at least twice a year.

14. **Duties and Responsibilities of Doctoral Committee**

- 14.1. To conduct the pre-registration colloquium and to recommend for the approval of the Board of Studies.
- 14.2. To consider the half-yearly progress reports of registered candidates forwarded by the Supervisor and chairpersons of the P. G. Department.
- 14.3. To conduct the pre-submission colloquium and to accord permission for the submission of the thesis.
- 14.4. To consider the request of the candidate for the change of title of the thesis or the non-continuance of the Supervisor and to recommend the same to the concerned Board of Studies.
- 14.5. To carry out such other duties as the University may entrust to the Committee from time to time in connection with the Ph. D. Programme.

15. **Research Proposal/Outline**

- 15.1. Every selected candidate shall prepare and submit a Research Proposal/Outline to the Chairperson of the P. G. Department, who in turn shall arrange for the meeting of Doctoral Committee and to place it before the Doctoral Committee. This is mandatory for all the selected candidates including those who are exempted from the Entrance Test.
- 15.2. The candidate shall prepare the Research Proposal/Outline defining clearly the objectives, methodology, literature survey, work plan and relevance of the proposed research and shall present it in the form of a colloquium before the Doctoral Committee.
- 15.3. The Doctoral Committee shall assess the proposition of the candidate to take up the proposed research work and recommend to the Board of Studies for its approval. However, the Committee is empowered to suggest changes, if necessary, in the title / Scope / methodology of the proposed research topic / outline in consultation with the Supervisor.

*Sumanangshu shankar*  
Vice-Chancellor

*[Signature]*  
Registrar

- 15.4. If the performance under preparation of the candidate is not satisfactory, the Director, Committee shall give one more chance to the candidate to prepare for the colloquium again within three months from the date of the first colloquium. If the candidate fails even in the second attempt, he/she shall not be eligible for Provisional Registration.
- 15.5. All the applications which are cleared and recommended by the Director, Committee shall be sent to the chairperson of Board of Studies by the Chairperson of the P.G. Department for obtaining the approval.
- 15.6. The concerned Board of Studies shall scrutinize the research proposals, and accord its approval or otherwise - if the Board is not satisfied with the title of the research work, it shall return the proposal with its suggestions. When a proposal is returned by the Board with its suggestions, the candidate shall, in consultation with the Research Supervisor, attend to them and resubmit the proposal to the chairperson of the P. G. Department.
- 15.7. On receipt of the research proposals approved by the Board, the Chairperson of the P. G. Department shall forward them with Application - B to the University for Provisional Registration.
- 15.8. All the candidates who are given Provisional Registration shall take up and complete the prescribed course work.

16. Course Work

- 16.1. After Provisional Registration, all the registered candidates shall take up the course work in the respective P.G. Department / College / Institution for a period of six months, and this shall be compulsory for both full time and part time candidates.
- 16.2. The course work for Ph.D programme shall comprise of three papers of 100 marks each, viz., Course - I Research Methodology, Course - II. Cognitive / core Subjects and Course - III Field of Specialization. This shall be followed by comprehensive viva-voce for 50 marks. However, it shall be noted here that Course - I and II are common for all the candidates in a particular Department/Subject/Discipline.
- 16.3. Each Course shall have 48 contact hours. Classes for Courses - I and II shall be arranged by the chairperson of the P. G. Department/Head of the recognized research centre, and that of Course - III by the concerned Research Supervisor. Both the full-time and part-time candidates shall attend atleast 75% of the classes in each paper to be eligible to appear for the examination.
- 16.4. The candidates who fail to attend a minimum of 75% of the classes in each of the Courses shall not be eligible to appear for the examination and to obtain the confirmation of registration for Ph. D programme, and they have to attend the classes again with the next batch.

Sarananjala Chakraborty  
 Vice-Chancellor  
 Tribhuvan State University

Registrar  
 Tribhuvan State University

16.5 The following shall be the Structure of Course Work for Ph.D Programs.

Sl. No.	Name of the Course	Contact Hours per week	Maximum Marks			Total hours
			Continuous Assessment	Course and Examination	Total	
01	Course - I Research Methodology	10	15	50	100	10
02	Course - II : Course: Core Subject	05	50	50	100	05
03	Course - III Field of Specialization	01	50	50	100	01
Total			150	150	300	
Viva Voice					50	

- 16.6. Continuous Assessment Marks of the course work shall be awarded by the course teacher based on (a) Assignments - 10 marks, (b) Review of Literature - 10 marks, (c) Seminar 10 marks, and (d) Tests 20 marks.
- 16.7. The Concerned Board of Studies (PG) shall prepare and approve the Course reports for each of the three courses.

17. Examination and Evaluation of Answer Scripts

- 17.1. There shall be a Board of Examiners (B.O.E) Constituted by the Registrar (Evaluation), with the approval of the Vice-Chancellor, based on the panel of examiners approved by the Board of Studies.
- 17.2. The chairman of the Board of Examiners shall get the requisite number of question papers set, get them approved by BoP, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The chairman of the P.Gi Department shall conduct the examination for all the candidates (i.e., including those who are working for Ph.D in other institutions) in the Department.
- 17.3. There shall be Course and Examination of two hour duration (for 50 marks per Course). Each answer script of the Course and Examination shall be coded and assessed by two examiners (Preferably, one internal Course Teacher and another external). The marks awarded to the answer script shall be the average of these two evaluations.
- 17.4. If the difference in the marks between two evaluations exceeds 20% of the maximum marks such a script shall be assessed by a third external examiner. The marks awarded to that script shall be the average of two better marks out of the three evaluations.

Signature of the Registrar (Evaluation) Signature of the Vice-Chancellor

18. **Minimum Pass Marks and Improvement Examination.**

- 18.1 Minimum for pass in each written paper shall be 40% in the course and examination and 50% in aggregate including the continuous assessment marks. However, these shall be minimum of 25 marks for viva-voce for every Candidate shall. Compulsorily attend the viva-voce examination. The results shall be declared on the basis of three Courses and viva-voce.
- 18.2 Failed candidates shall be allowed to take only viva-voce examination within three months of their first examination. In case of failure of the candidate even after an improvement examination, his/her Provisional Register shall get Cancelled.

19. **Confirmation of Registration**

- 19.1 After declaring the result, the successful candidate shall submit another application (Application - C) to the Chairperson of the P.G. Department for forwarding to the University for issue of notification of confirmation of registration.
- 19.2 University shall issue the necessary notification within fifteen days from the date of receipt of the letter from the Department confirming the registration which is in the form of permission to start working on the task.

20. **Place Of Course Work and Research Work**

- 20.1 All the provisionally registered candidates shall complete the course work in the P.G. Department of the University or in the Laboratory, Institute, or the Department of an affiliated constituent college recognized by the University as Research Centre. This shall apply to all types of candidates, fulltime, parttime, sponsored, Foreign, JRF candidates, etc.
- 20.2 Part time candidates shall work for a least 25 working days per year in the Department of the Research Supervisor.

21. **Progress Reports**

- 21.1 After the completion of the course work and the confirmation of the registration, every candidate shall submit half-yearly progress report regularly through the Research Supervisor to the Chairperson of the P.G. Department who shall place it before the subsequent meeting of the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the progress made in the review of literature, research methodology adopted, progress in research, discussion of the work done including any findings, etc.
- 21.2 Besides, the candidates shall make, once in a year preferably during the meeting of Doctoral Committee in the month of January, presentation about the progress made by him/her during the last one year and also the work plan for the next one year.

Sannamangalashankar  
In-charge  
P.G. Department

[Signature]  
Registrar

21.1. If a candidate fails to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two consecutive half-year periods, the Doctoral Committee shall recommend to the University for the cancellation of student registration. In consultation with the concerned Research Supervisor. Before cancellation, a show-cause notice shall be issued to the candidate.

22. **Validity Period of Registration**

- 22.1. Every full time candidate shall take a maximum of three years and minimum of five years, and every part time candidate shall take a maximum of four years and a minimum of six years from the date of Doctoral Registration (i.e., from the date of commencement of course work) to submit final thesis.
- 22.2. In exceptional cases, extension beyond the above maximum period may be granted by the University on the recommendation of the Doctoral Committee and the Research Supervisor for justifiable reasons for a period of one more year after the candidate applies before the expiry of the period and pays the prescribed fee.
- 22.3. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the period (after paying the extra fee as prescribed by the University) to the chairperson of the P.G. Department through the Research Supervisor, and the chairperson of the P.G. Department shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-Chancellor by the chairperson of the P.G. Department for consideration and the decision shall be final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by re-registering again.
- 22.4. A part time candidate may be permitted to work on full time basis on valid grounds. The period of such registration shall be three years from the date of registration or four years from the date of registration, whichever is earlier. A full time candidate may also be permitted to work on part time basis, but the total of such registrations shall be four years from the date of original registration.
- 22.5. A candidate may be permitted to change the title of thesis three up to three years from the date of registration.

23. **Submission of Thesis**

23.1. Subject to the above extension and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application, along with 25 copies of synopsis of the thesis through the Research Supervisor to the Chairperson of P.G. Department for permission to submit the thesis. The Chairperson shall arrange to place the candidate's registration and synopsis before the meeting of the Doctoral Committee provided that the Research Supervisor has submitted two research papers in the journals of repute (including the papers accepted for publication) and/or obtained the patent based on higher research work. Thesis is required to produce respectively by proof proof of acceptance of research paper(s) to be Doctoral Committee through higher supervisor with colleague.

Sarwananjali Chakrabarti

Registrar

- 22.2. Subject to the provisions of Section 22.1, in extraordinary cases the minimum period for submission of the thesis can be reduced by one year by the Doctoral Committee, in case the results of the findings and publications made by the candidate are of exceptionally high value.
- 22.3. Further, the candidate who has submitted the application for permission to submit thesis shall present a pre-submission colloquium before the Doctoral Committee demonstrating his/her preparedness to submit the thesis.
- 22.4. Considering the work of the candidate, his/her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.
- 22.5. If the Committee is not satisfied with the work of the candidate, it may, recommend for improvement. In this case, the candidate shall appear for pre-submission colloquium again before the Doctoral Committee within three months.
- 22.6. Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis to the Registrar (Evaluation) through the supervisor and the chairperson of the P.G. Department concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificate" from the Chairperson of the P.G. Department. List of the Institute concerned, University of Kerala and Hostel Welfare.

#### 24. Adjudication of Ph.D Thesis

- 24.1. After the approval of the final synopsis by the Doctoral Committee, the chairperson of the P.G. Department shall write to the Chairperson of Board of Studies for the Panel of Adjudicators approved by BOS. The Chairperson of BOS shall send the approved Panel to the Registrar (Evaluation) by airtel.
- 24.2. For the adjudication of Ph.D thesis, there shall be a Board of Adjudicators consisting of the Research Supervisor as the chairperson and two external members to be chosen by the Vice-Chancellor. There shall be a panel of ten examiners prepared and approved by the Board of Studies.
- 24.3. The panel of adjudicators prepared and approved by the Board of Studies shall include only experts in the concerned field and not below the rank of Reader/Associate Professor, or senior scientists/academicians who possess Ph.D in the concerned discipline/subject and have evidence of research experience in the field of research including the scholars as stipulated under Section 10.3 of this regulation. Further, out of ten reports, five may be from within Karnataka and five shall be from universities/institutions outside Karnataka and / or foreign universities / institutions. If the Research Supervisor prefers foreign adjudicator he / she shall inform the same to the Chairperson of P.G. Department who in turn shall pass it on to the chairperson of BOS.

*Sarwaningal Shankar*

Vice-Chancellor  
Kannada State Dr. Bhanu Prasad

*[Signature]*

Registrar  
Kannada State Dr. Bhanu Prasad

## 25. Viva-Voce Examination

- 25.1. On the receipt of the Dissatisfied/Unsatisfactory reports from all the three adjudicators, an open viva-voce examination shall be conducted on any working day by a Board constituted by the Registrar (Evaluation) based on the approval of the Vice-Chancellor. The Board shall comprise the following:
- Research Supervisor : Chairperson
  - One external Adjudicator (appointed by Vice-Chancellor) : Member
  - Co-Research Supervisor, if any : Member
  - Chairman of the BoS : Member
  - Chairman of the P. G. Department of the University : Member
- 25.1.1 In case where the Research Supervisor cannot attend the viva-voce examination, then the co-supervisor or external adjudicator shall be made the chairperson. However, a written consent from the Research Supervisor and prior approval from the Vice-Chancellor shall be mandatory. When an external adjudicator is appointed as the chairperson, then one more external adjudicator who is/whom is/are shall be invited as the member if he/she is from India. Otherwise, another expert from out of the panel approved by BoS shall be invited as the member on the basis of the approval by the Vice-Chancellor.
- 25.1.2 Under extraordinary circumstances where either of external adjudicators cannot be present for the viva-voce examination, the Vice-Chancellor shall be competent to appoint another external adjudicator expert from out of the panel approved by the Board of Studies.
- 25.2. Besides the above, the chairperson of the Viva-voce Board shall invite the chairperson and members of Disciplinary Committee, and send a circular to all the P.G. Departments (including B.Sher. own Department) inviting interested Faculty Members, Research Scholars and Students to attend the viva-voce examination.
- 25.3. The Viva-voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis including the methodology employed and the competence in the field of research. Any of the queries raised by the adjudicators shall be clarified during the viva-voce examination, and the report of the examination shall be made available to the Board for Viva-voce examination.

*Sarnanjanlalashankar*

Vice-Chancellor

Kayalaba Saha St. College, Gorakhpur  
Maulana Abul Kalam Azad University

*[Signature]*

Registrar

Office of the Registrar, Kayalaba Saha St. College, Gorakhpur  
Maulana Abul Kalam Azad University



24.4. Each adjudicator appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation) marking a copy to the chairperson of Board of Adjudicators, within two months from the date of receipt of the thesis.

24.5. Each adjudicator's report on the thesis shall include the following,

- \* A critical account of the work of the candidate as included in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- \* Details of any question to be asked to the candidate or points to be clarified by the candidate at the open viva-voce examination.
- \* A definite recommendation as to whether the Ph.D. Degree should be awarded or not.
- \* The adjudicators shall also be required to answer specifically the following
  - \* Whether the Ph.D. Degree can be awarded on the basis of the thesis as presented?
  - \* If the answer is no, whether the thesis can be re-submitted after revision/modification? or a definite recommendation against the award of the Degree.
  - \* Whether the thesis is fit for publication with or without revision?

24.6. If all the reports are positive/favourable, the Registrar (Evaluation) shall take necessary steps and inform the chairperson of the Board of Adjudicators to (a) prepare and send the consolidated report on the thesis, and (b) arrange for the open viva-voce examination inviting one of the external adjudicators approved by the Vice-Chancellor.

24.7. If one of the examiners makes a qualified recommendation with valid reasons and recommends for re-submission of the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the concerned candidate, through the Research Supervisor, for re-submitting the thesis after suitable modifications within six months from the date of communication. In this case, the modified thesis shall be sent to the same adjudicator who recommended for re-submission.

24.8. No candidate shall, however, be permitted to resubmit the thesis more than once.

24.9. If one of the adjudicators makes a definite recommendation against the award of the Degree, the thesis shall be referred to another external adjudicator. If the report of such adjudicator is favourable, then the candidate shall be eligible to appear for the next viva-voce examination. Otherwise (i.e., even if the third external adjudicator recommends against the award of Degree), the thesis shall be rejected. However, the reports of the adjudicators shall be made available to the candidate.

24.10. If both the external adjudicators make definite recommendations against the award of Ph.D. Degree, the thesis shall be rejected.

Saravangala Subbarao

Registrar

- 28.2. After the award of the Ph.D Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Supervisor for any copyright, patent or recognition to be made.
- 28.3. If a thesis is proved to be a copy / plagiarism / academic misrepresentation the University has powers to rescind the degree.

**29. Prohibition for doing Ph.D.**

The statutory offices of the University are prohibited from registering for Ph.D degree in the same University during their tenure.

**30. Repeat and Savings**

- 30.1. Notwithstanding anything contained in these Regulations, the Provisions of any Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these Regulations.
- 30.2. The University shall issue such orders, instructions, etc., and prescribe such forms, procedure, etc., as it may deem fit, to implement the Provisions of these Regulations.
- 30.3. If any difficulty arises in the implementation of these Regulations, the Vice-Chancellor shall, in consultation with the Deans, be competent to issue necessary clarifications and at the earliest possible opportunity thereafter report the action taken by him to the Academic Council for notification.
- 30.4. If Member / Teacher / Agricultural / Horticulture / Veterinary Universities / Karnataka State Open University / KRSRI Mysore and Purifying Arts University face any difficulties in implementing this regulation in toto, suitable guidelines may be framed by the concerned University and at the earliest possible opportunity thereafter report the action taken to the Academic Council for notification.

  
**VAJUBHAI VALSA**  
**CHANCELLOR**

  
 Registrar  
 Karnataka State Dr. Bhanubhai Nanaji  
 Nanded and Purifying Arts University  
 Mysore - 577 004

  
 Vice-Chancellor

- 25.4. In the case of candidates who are abroad and unable to be present physically, distance examination may be held through video-conference mode as described above (with open viva) after the candidate pays the additional fees prescribed by the University from time to time.
- 25.5. The Report of the Open viva-voce examination shall be signed by all the members of the viva-voce Board.
- 25.6. The candidate shall, after the viva-voce examination, incorporate the suggestions made by the adjudicators and also the summary of viva-voce examination into his/her thesis, and submit two hard copies and a soft copy to the Registrar (Evaluation) for sending them to the University Library (both the hard and soft copies of the thesis) and to the Government website (as hard copy).
- 25.7. If the performance of the candidate in viva-voce examination is not satisfactory, he/she may be allowed one more chance to reappear for the viva-voce within three months.

26. Award of Ph.D Degree

- 26.1. After the successful completion of the open viva-voce examination by the candidate, the chairperson, viva-voce Board, shall prepare and send the minutes of the viva-voce meeting based on the candidate's performance in the viva-voce examination recommending to the University, on behalf of the Board, to award the Degree of Doctor of Philosophy to the candidate.
- 26.2. Along with the Degree, the degree awarding University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulation, 2009.

27. Depository with UGC: Following the successful completion of the evaluation process and announcement of the award of Ph.D, the University shall submit a soft copy of the Ph.D thesis to the UGC within a period of 30 days, for hosting the same in INFLIBNET, accessible to all institutions/Universities.

28. Publication of Thesis

- 28.1. After the award of Ph.D Degree, the entire thesis or any part of the thesis may be published by the candidate with the written permission of the University, giving due credit to the Research Supervisor. Two copies of the published works shall be submitted to the University Library.

Sumanangalashankar  
Vice-Chancellor

Registrar  
Karnataka State Dr. Gargakal Hanavaj



119



ಕರ್ನಾಟಕ ರಾಜ್ಯ ಶಿಕ್ಷಣ ಇಲಾಖೆ  
 ಕರ್ನಾಟಕ ರಾಜ್ಯ ಪ್ರಜ್ಞಾಪನ ಕಾನೂನು ಮತ್ತು ನಿಯಮಗಳ ವಿಭಾಗ  
 ಕರ್ನಾಟಕ ರಾಜ್ಯ ಶಿಕ್ಷಣ ಇಲಾಖೆ, ಬೆಂಗಳೂರು - 560 002, ಕರ್ನಾಟಕ  
 ಕಾರ್ಯದರ್ಶಿ (ಶಿಕ್ಷಣ) ಮತ್ತು ನಿಯಮಗಳ ವಿಭಾಗ

ದಿನಾಂಕ 18.03.2015 ರಂದು ಕಡತ ಸಂಖ್ಯೆ ಸಿ.ಆರ್.ಇ. 2015/3.5

ದಿನಾಂಕ ಸಂಖ್ಯೆ : 2015/3.5

ಸಂಸ್ಥೆ/ವಿಭಾಗದ ಹೆಸರು ಮತ್ತು ವಿವರಗಳನ್ನು ಕಡತ

Statute / Regulation No.	Title of the Statute
III	The Karnataka Rajya Dr. Ganga Bai Hargal Sangeetha Matha Pradarshaka Kalegala Vishwavidyalaya (Ph.D., Regulations - 2014).

ಸಂಸ್ಥೆ : ಕರ್ನಾಟಕ ರಾಜ್ಯ ಶಿಕ್ಷಣ ಇಲಾಖೆ, ಬೆಂಗಳೂರು ಮತ್ತು ನಿಯಮಗಳ ವಿಭಾಗ.

  
 Registrar  
 Karnataka State Dr. Ganga Bai Hargal  
 Matha and Pathaling Arts University  
 Mysore - 572 004



115

587

ಕರ್ನಾಟಕ ರಾಜ್ಯ ವಿಶ್ವವಿದ್ಯಾನಿಲಯ  
 ಕರ್ನಾಟಕ ರಾಜ್ಯ ವಿಶ್ವವಿದ್ಯಾನಿಲಯ  
 ಕರ್ನಾಟಕ ರಾಜ್ಯ ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ಮೈಸೂರು - 576 004, ಕರ್ನಾಟಕ  
 ಫೋನ್ : (0824) 2330001, 2330002

ದಿನಾಂಕ 19.03.2015 ರಲ್ಲಿ ಸಿದ್ಧಪಡಿಸಿದ ಪ್ರಶ್ನೆಗಳು ಮತ್ತು ಸ್ವರೂಪ ಕಡತ

ಸಂಖ್ಯೆ : 2015/35

ಪ್ರಶ್ನೆಗಳು ಮತ್ತು ಸ್ವರೂಪ ಕಡತ

Statute / Regulation No.	Title of the Statute
III	The Karnataka Rajya Dr. Gangubai Hangal Sangodha matta Pradarshaka Kulegala Vishwavidyalaya (Ph.D., Regulations - 2014)

ಪ್ರಶ್ನೆಗಳು ಮತ್ತು ಸ್ವರೂಪ ಕಡತ, ಸಂಪನ್ಮೂಲ ಮತ್ತು ಸ್ವರೂಪ ಕಡತ.

Registrar  
 Karnataka State Dr. Gangubai Hangal  
 Music and Performing Arts University  
 Mysore - 576 004



ಕರ್ನಾಟಕ ರಾಜ್ಯ ಡಾ. ಗಂಗುಬಾಳ ಹಂಗಲ್  
 ಸಾಂಸ್ಕೃತಿಕ ಮತ್ತು ಪ್ರದರ್ಶನಕ ಕಲೆಗಳ ವಿಶ್ವವಿದ್ಯಾಲಯ  
 ಸಂಸ್ಕೃತ ಮತ್ತು ಪ್ರದರ್ಶನಕ ಕಲೆಗಳ ವಿಭಾಗ - ಮುಂಬಯಿ, ಕರ್ನಾಟಕ  
 ಶಾ.ಸಂ. (2014) 2014/10.3

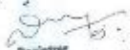
ದಿನಾಂಕ 24.03.2015 ರಂದು ನಡೆದ ಸಂವಿಧಾನ ಸಭೆಯ ನಿರ್ಧಾರ

ಸಂಖ್ಯೆ : 2015/10.3

ವಿದ್ಯಾರ್ಹತೆ ಮತ್ತು ಸಂವಿಧಾನ ಸಭೆಯ ನಿರ್ಧಾರ

Statute / Regulation No.	Title of the Statute
III	The Karnataka Rajya Dr. Gangubai Hangal Sangeetha matu Pradarshaka Kalegala Vishwavidyalaya (Ph.D., Regulations - 2014).

ಹೆಸರು : ಸಂವಿಧಾನ ಸಭೆಯ ನಿರ್ಧಾರದ ಅಡಿಯಲ್ಲಿ ಸಂವಿಧಾನ ಸಭೆಯ ನಿರ್ಧಾರ.

  
 Registrar  
 Karnataka State Dr. Gangubai Hangal  
 Music and Performing Arts University  
 Mysore - 576 004